SHORT COMMUNICATION

Extreme postcranial pneumaticity in sauropod dinosaurs from South America

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Abstract Birds are unique among living tetrapods in possessing pneumaticity of the postcranial skeleton, with invasion of bone by the lung and air-sac system. Postcranial skeletal pneumaticity (PSP) has been reported in numerous extinct archosaurs including pterosaurs and non-avian dinosaurs. Here we report a case of extreme PSP in a group of small-bodied, armored sauropod dinosaurs from the Upper Cretaceous of South America. Based on osteological data, we report an extensive invasion of pneumatic diverticula along the vertebral column, reaching the distal portion of the tail. Also, we provide evidence of pneumaticity in both pectoral and pelvic girdles. Our study reveals that the extreme PSP in archosaurs is not restricted to pterosaurs and theropod dinosaurs.

Keywords Sauropoda · Titanosauria · Upper Cretaceous · Air-sac system · Appendicular pneumaticity

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Kurzfassung Vögel sind einzigartig innerhalb der lebenden Tetrapoden, da sie eine Pneumatisierung des Postkranialskeletts aufweisen, welche die Invasion von Knochen durch die Lunge und Luftsack-Systeme einschließt. Diese postkraniale Skelettpneumatisierung (PSP) ist bereits in zahlreichen ausgestorbenen Archosauriern, einschließlich Pterosauriern und Dinosauriern, die nicht der Vogellinie angehören, beschrieben worden. Hier berichten wir über einen Fall von extremer PSP in einer Gruppe von kleinwüchsigen, gepanzerten sauropoden Dinosauriern aus der Oberkreide von Südamerika. Basierend auf osteologischen Daten lässt sich eine umfangreiche Invasion von pneumatischen Divertikeln entlang der Wirbelsäule nachweisen, welche sich bis in die distalen Bereiche des Schwanzes erstreckt. Darüber hinaus zeigen sich Hinweise auf Pneumatisierung in beiden Brust- und Beckengürteln. Unsere Studie zeigt, dass diese extreme Form von PSP in Archosauriern nicht auf Flugsaurier und theropode Dinosaurier beschränkt ist.

Schlüsselwörter Sauropoda · Titanosauria · Oberkreide · Luftsacksysteme · Appendikularpneumatisierung

Introduction

Birds are the only extant group of vertebrates that possesses a pneumatic postcranial skeleton, which results from invasion of bone by extensions (diverticula) from the lung and air-sac system (Britt 1993; Duncker 1971). This feature has also been reported in numerous extinct archosaurs including pterosaurs and non-avian dinosaurs (Benson et al. 2011; Britt 1993; Buttler et al. 2009; Claessens et al. 2009; Janensch 1947; O'Connor 2006; Wedel 2003; Yates et al. 2012). In sauropod dinosaurs, the postcranial skeletal

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pneumaticity (PSP) has been commonly identified in the presacral axial skeleton (including dorsal ribs) and, in some groups, in the sacrum and the proximal and middle caudal vertebrae (Janensch 1947; Schwarz et al. 2007; Wedel et al. 2000; Wedel 2003, 2007, 2009). Pneumatization of the pelvic girdle has been proposed for some Neosauropoda taxa (Carvalho et al. 2003; Hocknull et al. 2009; Wedel 2009; Wilson and Upchurch 2009; Woodward and Lehman 2009; Xu et al. 2006), but based on non-conclusive evidence, and pneumaticity in the pectoral girdle has definitely never been reported in sauropodomorphs.

Several osteological correlates of PSP have been previously proposed for the determination of this feature in fossil groups (Britt 1993). However, recent studies on extant tetrapods have demonstrated that the correlation between many of these purported osteological features (i.e., blind fossae) with PSP is ambiguous (O'Connor 2006; O'Connor and Claessens 2005). The only unambiguous indicators of pneumaticity are large cortical openings (foramina) connected directly with large internal cavities (camerae or camellae) within the bone. Using this anatomical criterion, we study the PSP in a derived clade of titanosaurian sauropods from the Upper Cretaceous of South America [Saltasaurini (Salgado and Bonaparte 2007, Saltasaurinae sensu Salgado et al. 1997, Saltasaurinae sensu Powell 2003)], which actually includes Saltasaurus loricatus from Northwestern Argentina (Bonaparte and Powell 1980; Powell 2003) and Neuquensaurus australis and Rocasaurus muniozi from Northern Patagonia (Powell 2003; Salgado and Azpilicueta 2000).

Institutional abbreviations

APB: Museo de la Asociación Paleontológica de Bariloche, Río Negro Province, Argentina; MLP-CS, Museo de La Plata, Cinco Saltos Collection, La Plata, Argentina; MLP-Ly: Museo de La Plata, Lydekker's Collection; PVL: Paleovertebrate collection of Instituto "Miguel Lillo", Tucumán Province, San Miguel de Tucumán, Argentina. MPCA-Pv: Paleovertebrate Collection of Museo Provincial "Carlos Ameghino", Cipolletti, Río Negro Province, Argentina; MCS-Pv, Paleovertebrate Collection of Museo Regional Cinco Saltos, Río Negro Province, Argentina.

Materials and methods

Fig. 1 Pneumatic features in the middle and posterior caudal > vertebrae of R. muniozi (a-g), S. loricatus (h-n) and N. australis (o-t). a Middle caudal vertebra of Rocasaurus (MPCA-Pv 57). Dashed line indicates the section showed in b. b CT scan of the same specimen. Pneumatic foramina communicated with camellate tissue are clearly observed in the neural arch. In this and the other figures, pneumatic foramina are indicated by arrowheads. c MPCA-Pv 57 in anterior view. d Detail of pneumatic foramina in the base of the neural arch and in the spinoprezygapophyseal fossa (box inset in c). e Middle caudal (MPCA-Pv 58) in lateral view. f Detail of two pneumatic foramina in the lateral surface. g Posterior caudal (MPCA-Pv 54) in ventral view showing a large pneumatic foramina and camellate tissue in the broken surfaces. h Incomplete middle caudal vertebra (PVL 4017-31). The broken area reveals camellate bone tissue. i Middle caudal (PVL 4740) in anterior view. j Detail of pneumatic foramina in the base of the neural arch and in the spinoprezygapophyseal fossa. k The same specimen in lateroposterior view. I Close-up of the pneumatic foramina in the centrum and the neural arch. m Posterior caudal (PVL 4017-38) in lateral view. Dashed line indicates the section showed in n. n Broken surface showing large pneumatic cavities in the centrum. o Middle caudal vertebra (MCS-Pv 180) in lateral view. p Polished cross section of the same specimen (dashed lines in o) showing pneumatic cavities. Given the poor contrast between bone tissue and sedimentary matrix, the former has been digitally erased. q Middle caudal (MCS-Pv 5-12) in dorsal view. r Detail of pneumatic foramina in the spinoprezygapophyseal fossa. s Posterior caudal vertebra of MLP-CS 1434 in dorsal view. Note the pneumatic cavities in the base or the neural arch. h Broken surface of the same specimen showing pneumatic cavities filled with matrix. nc Neural canal, pc pneumatic cavities, sprf spinoprezygapophyseal fossa

sediments of the Lecho Formation at the locality of El Brete (south of Salta Province, Argentina) (Bonaparte and Powell 1980; Powell 2003). The specimens of Neuguensaurus were recovered from the localities of Cinco Saltos and Lago Pellegrini (Río Negro Province, Argentina), from sediments of the Anacleto Formation (lower Campanian) (Powell 2003; Salgado et al. 2005; Otero 2010). We examined postcranial elements assigned to Neuquensaurus identified as MCS-Pv 5 (Salgado et al. 2005), MLP-Ly and MLP-CS (see Powell 2003 for a complete list of the materials housed in Museo de La Plata). The assignment of the studied specimens to Neuquensaurus has been previously discussed by several authors (McIntosh 1990; Powell 2003; Wilson and Upchurch 2003; Salgado et al. 2005; Otero 2010). The *Rocasaurus* material includes the holotype (MPCA-Pv 46) and all the referred material (MPCA-Pv 47-51, MPCA-Pv 56-60 and APB 2887). These specimens were collected from sediments of the Allen Formation (middle Campanian-lower Maastrichtian) of the locality of Salitral Moreno (Río Negro Province, Argentina) (Salgado and Azpilicueta 2000).

The internal structure of the examined bones was studied by observation of: fortuitous breaks in specimens (all the three taxa); mechanical sections (*Saltasaurus*) and nondestructive computed tomography (CT) (vertebrae of *Neuquensaurs* and *Rocasaurus*). Obtaining images by CT scanning was not possible for *Saltasaurus*, because of the

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prevalent heavy elements in its sedimentary matrix. The CT scanning of the different bones was conducted on a Pro Speed helicoidal scanner (99783 Pro Gp serie) housed at Policlínico Neuquén (Neuquén Province, Argentina). Vertebral laminae and fossae terminology follows Wilson (2000) and Wilson et al. (2011). Pneumatic structure nomenclature follows Britt (1993) and Wedel et al. (2000).

Results

Saltasaurini titanosaurs show evidence of PSP in both the axial and appendicular skeleton. Given that PSP in the precaudal axial skeleton of sauropod dinosaurs has been largely described previously (Britt 1993; Janensch 1947; Schwarz et al. 2007; Wedel 2003, 2007, 2009), we focused our description on the caudal vertebrae and the appendicular skeleton of Saltasaurini titanosaurs. The centra and neural arches of anterior and middle caudal vertebra of Saltasaurus and Rocasaurus have a camellate ("honeycomb"-like) internal structure consisting of a large number of small (\sim 5–20-mm-diameter) internal chambers (camellae) that are observed on broken and abraded surfaces and in CT imagery (Fig. 1). Posterior caudal neural arches also possess an internal camellate architecture, but the posterior centra are semicamellate, with large ($\sim 20 \text{ mm}$ diameter) camerae that divide into a numerous smaller camellae (Fig. 1a–n). Large (~ 5 –45 mm diameter) foramina are observed on the lateral and ventral surfaces of the centrum and in different positions of the neural arch (i.e., the spinoprezygapophyseal fossa, base of the prezygapophysis) (Fig. 1b-d, i-l). As observed in Saltasaurus and Rocasaurus, the caudal neural arches of Neuquensaurus are internally composed of camellate tissue. However, the internal architecture of the caudal centra differs from the typical "honeycomb" morphology described for the other taxa. Instead, the internal cavities have a quite variable morphology and size (larger cavities reach ~ 45 mm diameter) (Fig. 10, p). Also, while the pneumatized caudal vertebrae of Saltasaurus and Rocasaurus are perforated by foramina in both centra and neural arches, caudal centra of Neuquensaurus lack these openings. The cortical foramina observed in Neuquensaurus are commonly located in the spinoprezygapophyseal fossa and in the posterior end of the neural spine (between or above the postzygapophysis) (Fig. 1q-t). Another important difference between Neuquensaurus and the other Saltasaurini titanosaurs lies in the intraspecific variation with regard to the extension of the pneumaticity in the caudal series. While in all the examined specimens of Saltasaurus and Rocasaurus the internal cavities are present even in the posterior portion of the tail, some specimens of Neuquensaurus (e.g., MLP-CS 1320) lack pneumatic structures in this portion of the skeleton.

The pectoral girdle is at least pneumatized in Saltasaurus and Neuquensaurus (it is not preserved in Rocasaurus) (Fig. 2). A broken scapula (PVL 4017-153) of Saltasaurus exhibits a camellate internal structure, with large (more than 20 mm diameter) and elongated cavities (Fig. 2a, b). No pneumatic foramina were recorded in this element. The two preserved coracoids of Saltasaurus (PVL 4017-100 and PVL 4017-101) also possess a camellate architecture, with well-developed internal cavities ($\sim 10-20$ mm diameter). The cortical pneumatic foramina of the coracoids are small (5–10 mm), and they are located on the medial surface, near the coracoid foramen (Fig. 2c-e). Pneumaticity in the scapular girdle of Neuquensaurus is well observed in an incomplete scapulocoracoid (MLP-CS 1298). This element reveals internal cavities in both fused bones. A prominent pneumatic foramen (9 mm diameter) is observed on the medial surface of the scapula, at the level of the glenoid fossa, toward the dorsal margin (Fig. 2f-h).

Pneumaticity of the pelvic girdle is restricted to the ilium in Saltasaurini titanosaurs (Fig. 3). This element exhibits camellate tissue in the three examined taxa. Although the exact distribution of the camellate tissue in the ilia cannot be determined, the broken surfaces of the examined elements indicate that large camellae (more than 10 mm diameter) are mainly distributed in the anterior and middle portion of the iliac blade, but they do not invade the pubic peduncle (Fig. 3a-i). Pneumatic foramina are observed in a specimen of Neuquensaurus (MLP-CS 1057) and in the holotype of Rocasaurus (MPCA-Pv 46-12). In Rocasaurus, a prominent foramen of 31 mm is located on the dorsal margin of the acetabulum (Fig. 3e, f). In the Neuquensaurus specimen, a small (9-mm-diameter) cortical foramen is observed on the medial surface of the ilium, at the level of the pubis peduncle, between two successive sacral rib facets (possibly the third and the fourth) (Fig. 3h, i).

Discussion

Appendicular pneumaticity

Among sauropod dinosaurs, internal cavities (camerae and camellae) have been previously reported in the pelvic girdle (ilia) of several taxa, including: *Euhelopus zdanskyi* (Wilson and Upchurch 2009; Wiman 1929), *Lirainosaurus astibiae* (Sanz et al. 1999), *Amazonsaurus maranhensis* (Carvalho et al. 2003), *Sonidosaurus saihangaobiensis* (Xu et al. 2006), *Diamantinasaurus matildae* (Hocknull et al. 2009) and *Alamosaurus sanjuanensis* (Woodward and Lehman 2009). These cavities have been interpreted by some authors as pneumatic (Carvalho et al. 2003; Hocknull et al. 2009; Wedel 2009; Wilson and Upchurch 2009; Woodward and Lehman 2009). These ravities have been interpreted by some authors as pneumatic (Carvalho et al. 2003; Hocknull et al. 2009; Wedel 2009; Wilson and Upchurch 2009; Woodward and Lehman 2009). These ravita et al. 2006). However, at

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Fig. 2 Pneumatic features in the pectoral girdle of *S. loricatus* $(\mathbf{a}-\mathbf{e})$ and *N. australis* $(\mathbf{f}-\mathbf{h})$. **a** Incomplete left scapula (PVL 4017-153) in medial view. **b** *Broken surface* of the same specimen (*dashed lines* in **a**) showing the camellate internal bone. **c**, *Left* coracoid (PVL 4017-101) in medial view. **d** *Broken surface* of the same specimen revealing the distribution of the camellate tissue. **e** Close-up of the pneumatic foramina in PVL 4017-101 (*box* inset

in c). Note the presence of internal camellae communicated with the larger foramina. **f** Fragment of scapulocoracoid (MLP-CS 1298) in medial view. **g** Detail of the pneumatic foramina in the medial surface. **h** MLP-CS 1298 in anterior view. Note the presence of pneumatic cavities in the *broken surface. cf* Coracoid foramen, *gs* glenoid surface, *pc* pneumatic cavities

present, there are no reports in sauropodomorphs of cortical foramina communicating with the internal cavities of the ilia. As has been previously stated, the only unambiguous indicators of pneumaticity are large foramina connected directly with large internal cavities (camerae or camellae) within the bone (O'Connor 2006; O'Connor and Claessens 2005). Our finding gives the first report of a cortical foramen communicated with internal cavities in the ilium and supports the previous hypothesis of the invasion of pneumatic diverticula in the pelvic girdle in some Neosauropoda taxa. We note that in several badly damaged Saltasaurini bones (e.g., Saltasaurus scapula PVL 4017-153, Neuquensaurus ilium MLP-CS 1259, Rocasaurus ilium MPCA-Pv 46-13), the camellate tissue is present but the pneumatic foramina could not be found. We infer that the pneumatic foramina were actually present but obscured by the poor preservation of the bones. The same explanation could be applied to those non-Saltasaurini sauropods for which camellate tissue has been reported in the ilium. Hence, as has been previously proposed by Wedel (2003, 2005, 2009), the invasion of pneumatic diverticulae in the ilium appears to be an extended character within derived neosauropod dinosaurs.

With regard to the pneumatic invasion in the pectoral girdle of *Saltasaurus* and *Neuquensaurus*, there are no

previous reports of internal cavities in this portion of the skeleton of sauropodomorph dinosaurs. Thus, Saltasaurini titanosaurs are the only known group of sauropodomorph dinosaurs with this character. Given that the scapular girdle is not preserved in *Rocasaurus*, it is not possible to determine if pneumatic features in this element are present by direct observation. However, since *Neuquensaurus* is the sister group of the clade formed by *Rocasaurus* + *Saltasaurus* (Calvo et al. 2007a, b; González Riga et al. 2009), we hypothesize that camellate tissue and cortical foramina were actually present in the scapula and coracoides of *Rocasaurus*.

Caudal pneumaticity

Previous studies have documented the existence of pneumatic features in the anterior and even in the middle caudal vertebrae of some Neosauropoda taxa (Janensch 1947; Salgado et al. 2006; Wedel 2009). However, pneumaticity in the distal portion of the tail as described here for Saltasaurini titanosaurs has not been previously reported in sauropodomorph dinosaurs. Our data reveal an unexpected caudal extension of the pneumatic diverticula from the respiratory system, only comparable to some lineages of non-avian theropods (Benson et al. 2011).



Fig. 3 Pneumatic features in the pelvic girdle of *S. loricatus* (\mathbf{a} - \mathbf{b}), *R. muniozi* (\mathbf{c} - \mathbf{f}) and *N. australis* (\mathbf{g} - \mathbf{i}). **a** Preacetabular process of left ilium (PVL 4017-155) in latero-posterior view. **b** *Broken surface* of the same specimen (*dashed lines* in **a**) showing the camellate internal bone in the dorsal region. **c** Fragment of right ilium (MPCA-Pv 46-13) in lateral view. **d** *Broken surface* of the same specimen (*box* inset in **c**) showing the internal camellate tissue. **e** *Left* ilium (MPCA-

Body size and postcranial pneumaticity

Since the development of PSP is thought to have been very important in the achievement of giant size in sauropodomorph dinosaurs (Sander et al. 2011), the extreme pneumaticity in Saltasaurini is rather unexpected. Saltasaurini titanosaurs represent one of the few cases of phylogenetic decrease in body size among dinosaurs (Wilson 2005). This group has been considered as the "smallest of the giants," with body lengths that probably did not exceed 7 m. Our data reveal that one of the smallest sauropod clades actually has the most developed PSP. In this sense, although body size seems to be important in the development of PSP in sauropod dinosaurs (Britt 1993; Wedel 2003), this is not the only factor governing the evolution of pneumaticity. A detailed analysis on a larger data set, including species-level information, is necessary to elucidate which are the main

Pv 46-12) in ventral view. **f** Close-up of the same specimen showing a prominent foramen in the dorsal region of the acetabulum. **g** Incomplete *right* ilium (MLP-CS 1057) in postero-medial view. **h** The same specimen in dorsal view. **i** Detailed view of the same specimen showing a well-developed pneumatic foramen on the medial surface and camellate tissue in the broken area. *pc* Pneumatic cavities, *ppr* preacetabular process, *ppe* pubic peduncle

factors involved in the development of PSP in sauropod dinosaurs.

Structure of respiratory system

The identification of postcranial pneumaticity in fossil groups indicates the presence of an avian-like, heterogeneously partitioned pulmonary system, composed of both exchange (lung) and non-exchange (air sac) regions (O'Connor 2006; O'Connor and Claessens 2005). In extant birds, the air sac system includes the cervical, clavicular (= interclavicular), anterior thoracic, posterior thoracic and abdominal air sacs (Duncker 1971; Perry et al. 2011). Recent work has demonstrated that strict correlations exist between specific air sacs and the axial elements that they pneumatize in living birds (O'Connor 2006; O'Connor and Claessens 2005; contra Sereno et al. 2008).



Fig. 4 Reconstruction of pulmonary air sac in *S. loricatus*. **a** Skeletal reconstruction in lateral left view showing pneumatized postcranial bones (*gray*). The reconstruction is based on different specimens, and unknown skeletal parts (i.e. portions of the skull and the distal-most elements of the hind-limb) are based on closely related titanosaurs. Components of the pulmonary air sac system are based on site-specific pneumaticity of the postcranial skeleton and include: the cervical system (*green*), lung (*orange*), clavicular system (*yellow*) and abdominal system (*blue*). **b–i** Cross section of different elements

When the postcranial skeleton is pneumatized, the cervical vertebrae/ribs and the anterior dorsal vertebrae are pneumatized by diverticula of the cervical air sacs; in turn, diverticula of the abdominal air sacs pneumatize pelvic girdle and hind limb elements and the posterior dorsal, sacral and caudal vertebrae; finally, diverticula from the clavicular air sac pneumatize pectoral girdle and forelimb elements, whereas dorsal vertebrae and ribs are pneumatized directly by the lung. Anterior thoracic air sac diverticula often pneumatize the sternum and sternal ribs, and posterior thoracic air sacs never pneumatize the skeleton. Previous studies used this conservative pattern of PSP in living birds to infer the presence of specific air sacs in extinct archosaurs, including pterosaur, sauropodomorph and non-avian theropods (Claessens et al. 2009; O'Connor 2006; O'Connor and Claessens 2005; Wedel 2009; Yates et al. 2012). Following this reasoning, the pneumatic features observed in the postcranial skeleton of Saltasaurini implies the presence of cervical, abdominal and clavicular air sacs in this clade (Fig. 4). Although previous

showing internal cavities. **b** Incomplete posterior cervical vertebra (PVL 4017-214). **c** Middle dorsal vertebral centrum (PVL 4017-47). **d** Preacetabular process of a left ilium (PVL 4017-155). **e** Neural spine of middle caudal vertebra (PVL 4017-192). **f** Middle caudal centrum (PVL 4017-37). **g** Left coracoid (4017-101). **h** Thoracic rib (PVL 4017-143). **i** Posterior caudal centrum (PVL 4017-14). *Arrow*-*heads* indicate pneumatic foramina included in the sections. *Scale bar* equals 100 cm (**a**) and 2 cm (**b**–**i**)

studies of PSP have proposed that cervical and abdominal air sacs were actually present in sauropodomorph dinosaurs (Wedel et al. 2000; Wedel 2007, 2009; Yates et al. 2012), anatomical evidence for clavicular air sacs has never been reported in this group.

Although the presence of PSP is indicative of air sacs and diverticula, the lack of pneumaticity does not indicate the absence of such pulmonary specializations (O'Connor 2006; Wedel 2003, 2007, 2009). Hence, it is very plausible that the clavicular air sac was actually present in a more inclusive clade of sauropodomorph dinosaurs, but its osteological correlates were only present in Saltasaurini titanosaurs. A similar hypothesis has been proposed for the cervical and abdominal air sacs in basal sauropodomorph dinosaurs, for which unambiguous correlates of PSP are absent or poorly documented (Butler et al. 2012; Wedel 2007, 2009; Yates et al. 2012).

Since PSP has been recorded in different lineages of ornithodiran archosaurs (pterosaurs, sauropodomorph and non-avian theropod dinosaurs), several authors have proposed that the presence of a heterogeneously partitioned pulmonary system is primitive for ornithodiran archosaurs (Benson et al. 2011; Britt 1993; Butler et al. 2012; Wedel 2003, 2007; Yates et al. 2012). The extreme PSP reported here for Saltasaurini titanosaurs, with evidence of cervical, abdominal and clavicular air sacs, reinforces this idea. The independent acquisition of appendicular pneumaticity in pterosaurs (Claessens et al. 2009) and dinosaurs (theropods and derived sauropodomorphs) (Benson et al. 2011; Wedel 2009) emphasizes the tendency for ornithodirans to extensively pneumatize the postcranial skeleton (Benson et al. 2011; Butler et al. 2012).

Conclusions

Osteological features of the axial and appendicular skeleton in Saltasaurini titanosaurs reveal extreme pneumaticity that is unparalleled in other sauropodomorph dinosaurs, with invasion of pneumatic diverticula in the pectoral girdle and the distal portion of the tail. Our result indicates that, besides pterosaurs and theropod dinosaurs, extensive pneumaticity was also reached independently in Sauropodomorpha. Our data also strengthen the hypothesis for the presence of posterior abdominal air sacs in sauropodomorph dinosaurs and provide the first evidence for a clavicular air sac in this lineage. Finally, the record of extreme PSP in Saltasaurini titanosaurs contributes to the growing evidence of widespread, repeated evolution of appendicular and posterior axial skeletal pneumaticity in ornithodiran archosaurs, which in turn indicates that a heterogeneously partitioned pulmonary system is primitive for this group.

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